# Statistical MT <br> with Syntax and Morphology: Challenges and Some Solutions 

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## Outline

- Morphology, Syntax and the challenges they pose for MT
- Frameworks for Statistical MT with Syntax and Morphology
- Impact of Morphological Segmentation on large-scale Phrase-based SMT of English to Arabic
- Learning Large-scale Syntax-based Translation Models from Parsed Parallel Corpora
- Improving Category Labels for Syntax-based SMT
- Conclusions


## Phrase-based SMT

- Acquisition: Learn bilingual correspondences for word and multi-word sequences from large volumes of sentence-level parallel corpora
- Decoding: Efficiently search a (sub) space of possible combinations of phrase translations that generate a complete translation for the given input
- Limited reordering of phrases
- Linear model for combining the collection of feature scores (translation model probabilities, language model, other), optimized on tuning data


## Phrase-based SMT

- Strengths:
- Simple (naïve) modeling of the language translation problem!
- Acquisition requires just raw sentence-aligned parallel data and monolingual data for language modeling - plenty around! And constantly growing (for some language pairs...)
- Works surprisingly well - for some language pairs!
- Weaknesses:
- Simple (naïve) modeling of the language translation problem!
- Cannot model and generate the correct translation for many linguistic phenomena across languages - both common and rare!
- Doesn't generalize well - models are purely lexical
- Performance varies widely across language pairs and domains
- These issues are particularly severe for languages with rich morphology and languages with highly-divergent syntax and semantics


## Challenges: Morphology

- Most languages have far richer and more complex word morphology than English
- Example: Hebrew
- וכשתפתח את הקובץ החדש
- the new the file ET and when you (will) open
- and when you open the new file
- Challenges for Phrase-based Statistical MT:
- Data sparsity in acquisition and decoding
- Many forms of related words (i.e. inflected forms of verbs) seen only a few times in the parallel training data
- Many forms not seen at all - unknown words during decoding
- Difficulty in acquiring accurate one-to-many word-alignment mappings
- Complex cross-lingual mappings of morphology and syntax
- Non-trivial solution: morphological segmentation and/or deep analysis


## Morphology within SMT Frameworks

- Some options for handling morphology:
- Morpheme segmentation, possibly including some mapping into base or canonical forms
- Full morphological analysis, with a detailed structural representation, possibly including the extraction of subset of features for M $\dagger$
- What types of analyzers are available? How accurate?
- How do they deal with morphological ambiguity?
- Computational burden of analyzing massive amounts of training data and running analyzer during decoding
- What should we segment and what features to extract for best MT performance?
- Impact on language modeling


## ๑ด己

- Syntax of the source language is different than syntax of the target language:
- Word order within constituents:
- English NPs: art adj $n$ the big boy
- Hebrew NPs: art n art adj ha-yeled ha-gadol הילד הגדול
- Constituent structure:
- English is SVO: Subj Verb Obj I saw the man
- Modern Standard Arabic is (mostly) VSO: Verb Subj Obj
- Different verb syntax:
- Verb complexes in English vs. in German I can eat the apple Ich kann den apfel essen
- Case marking and free constituent order
- German and other languages that mark case: den apfel esse Ich the (acc) apple eat I(nom)


## Challenges: Syntax

- Challenges of divergent syntax on Statistical MT:
- Lack of abstraction and generalization:
- [ha-yeled ha-gadol] $\rightarrow$ [the big boy]
- [ha-yeled] + [ha-katan] $\rightarrow$ [the boy] + [the small]
- Desireable: art n art adj $\rightarrow$ art adj $n$
- Requires deeper linguistic annotation of the training data and appropriately-abstract translations models and decoding algorithms
- Long-range reordering of syntactic structures:
- Desireable translation rule for Arabic to English:

V NP_subj NP_obj $\rightarrow$ NP_subj V NP_obj

- Requires identifying the appropriate syntactic structure on the source language and acquiring rules/models of how to correctly map them into the target language
- Requires deeper linguistic annotation of the training data and appropriately-abstract translations models and decoding algorithms


## Syntax-Based SMT Models

- Various proposed models and frameworks, no clear winning consensus model as of yet
- Models represent pieces of hierarchical syntactic structure on source and target languages and how they map and combine
- Most common representation model is Synchronous Context-Free Grammar (S-CFGs), often augmented with statistical features
- NP::NP $\rightarrow$ [Detı $\mathrm{N}_{2}$ Det1 Adj3]::[Detı Adj3 $\mathrm{N}_{2}$ ]
- How are these models acquired?
- Supervised: acquired from parallel-corpora that are annotated in advance with syntactic analyses (parse trees) for each sentence
- Parse source language, target language or both?
- Computational burden of parsing all the training data
- Parsing ambiguity
- What syntactic labels should be used?
- Unsupervised: induce the hierarchical structure and source-target mappings directly from the raw parallel data


## What This Talk is About

- Research work within my group and our collaborators addressing some specific instances of such MT challenges related to morphology and syntax

1. Impact of Arabic morphological segmentation on broadscale English-to-Arabic Phrase-based SMT
2. Learning of syntax-based synchronous context-free grammars from vast parsed parallel corpora
3. Exploring the Category Label Granularity Problem in Syntax-based SMT

# The I mpact of Arabic Morphological Segmentation on Broad-Scale Phrase-based SMT 

J oint work with Hassan Al-Haj

with contributions from Nizar Habash, Kenneth Heafield, Silja Hildebrand and Michael Denkowski

## Motivation

- Morphological segmentation and tokenization decisions are important in phrase-based SMT
- Especially for morphologically-rich languages
- Decisions impact the entire pipeline of training and decoding components
- Impact of these decisions is often difficult to predict in advance
- Goal: a detailed investigation of this issue in the context of phrase-based SMT between English and Arabic
- Focus on segmentation/tokenization of the Arabic (not English)
- Focus on translation from English into Arabic


## Research Questions

- Do Arabic segmentation/tokenization decisions make a significant difference even in large training data scenarios?
- English-to-Arabic vs. Arabic-to-English
- What works best and why?
- Additional considerations or impacts when translating into Arabic (due to detokenization)
- Output Variation and Potential for System Combination?


## Methodology

- Common large-scale training data scenario (NIST MT 2009 English-Arabic)
- Build a rich spectrum of Arabic segmentation schemes (nine different schemes)
- Based on common detailed morphological analysis using MADA (Habash et al.)
- Train nine different complete end-to-end English-toArabic (and Arabic-to-English) phrase-based SMT systems using Moses (Koehn et al.)
- Compare and analyze performance differences


## Arabic Morphology

- Rich inflectional morphology with several classes of clitics and affixes that attach to the word
- conj + part + art + base + pron

| CONJ | $\mathrm{w}+$ (and), $\mathrm{f}+$ (then) |
| :---: | :---: |
| PART | $\begin{aligned} & 1+(\text { to/for }), \mathrm{b}+(\text { by } / \text { with }), \mathrm{k}+(\text { as/such }) \\ & \mathrm{s}+\text { will/future. } \end{aligned}$ |
| DET | $\mathrm{Al}+$ (the) |
| PRON | $\begin{aligned} & \text { +h (+O:3MS, +P:3MS }) \\ & +\mathrm{hA}(+\mathrm{O}: 3 \mathrm{FS},+\mathrm{P}: 3 \mathrm{FS}) \\ & +\mathrm{hm}(+\mathrm{O}: 3 \mathrm{MP},+\mathrm{P}: 3 \mathrm{MP}) \\ & +\mathrm{hmA}(+\mathrm{O}: 3 \mathrm{D},+\mathrm{P}: 3 \mathrm{D}) \\ & +\mathrm{hn}(+\mathrm{O}: 3 \mathrm{FP},+\mathrm{P}: 3 \mathrm{FP}) \\ & +\mathrm{k}(+\mathrm{O}: 2 \mathrm{FS},+\mathrm{P}: 2 \mathrm{FS},+\mathrm{O}: 2 \mathrm{MS},+\mathrm{P}: 2 \mathrm{MS}) \\ & +\mathrm{km}(+\mathrm{O}: 2 \mathrm{MP},+\mathrm{P}: 2 \mathrm{MP}) \\ & +\mathrm{kmA}(+\mathrm{O}: 2 \mathrm{D},+\mathrm{P}: 2 \mathrm{D}) \\ & +\mathrm{kn}(+\mathrm{O}: 2 \mathrm{FP},+\mathrm{P}: 2 \mathrm{FP}) \\ & \text { +nA (+O:1P,+P:1P) } \\ & +\mathrm{y}(+\mathrm{O}: 1 \mathrm{~S},+\mathrm{P}: 1 \mathrm{~S}) \end{aligned}$ |

Table 1. Arabic clitics divided to 4 classes.

## Arabic Orthography

- Deficient (and sometimes inconsistent) orthography
- Deletion of short vowels and most diacritics
- Inconsistent use of -ا,,-T,-!,,-1
- Inconsistent use of ي ي
- Common Treatment (Arabic $\rightarrow$ English)
- Normalize the inconsistent forms by collapsing them
- Clearly undesirable for MT into Arabic
- Enrich: use MADA to disambiguate and produce the full form
- Correct full-forms enforced in training, decoding and evaluation


## Arabic Segmentation and Tokenization Schemes

- Based on common morphological analysis by MADA and tokenization byTOKAN (Habash et el.)
- Explored nine schemes (coarse to fine):
- UT: unsegmented (full enriched form)
- SO: w + REST
- S1: w|f + REST
- S2: w|f + part|art + REST
- S3: w|f + part/s|art + base + pron-MF
- S4: w|f + part|art + base + pron-MF
- S4SF: w|f + part|art + base + pron-SF
- S5: w|f + part +art + base + pron-MF
- S5SF: w|f + part + art + base + pron-SF


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- S2: w|f + part|art + REST
- S3: w|f + part/s|art + base + pron-MF
- S4: w|f + part|art + base + pron-MF

Morphological
Forms!

- S4SF: w|f + part|art + base + pron-SF
- S5: w|f + part +art + base + pron-MF
- S5SF: w|f + part + art + base + pron-SF


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- S4: w|f + part|art + base + pron-MF
- S4SF: w|f + part|art + base + pron-SF
- S5: w|f + part +art + base + pron-MF

Surface

- S5SF: w|f + part + art + base + pron-SF


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- UT: unsegmented (full enriched form)
- SO: w + REST
- S1: w|f + REST
- S2: w|f + part|art + REST
- S3: w|f+part/s| art + base + pron-MF Original PATB
- S4: w|f + part| art + base + pron-MF ATBv3
- S4SF: w|f + part|art + base + pron-SF
- S5: w|f + part + art + base + pron-MF
- S5SF: w|f + part + art + base + pron-SF


## Arabic Segmentation Schemes

| Input | wbAlnsbp lAyTAlyA fanh yEny AnhA sttSrf kdwlp Sgyrp ttxlY En ms\&wlyAthA |
| :---: | :---: |
| Gloss | and regarding to italy this means that it will act as a country small giving up its responsibilities |
| English | And regarding Italy, this mean that it will act as a small country giving up its responsibilities |
| UT | wbAlnsbp l<yTAlyA f>nh yEny >nhA sttSrf kdwlp Sgyrp ttxlY En ms\&wlyAthA |
| S0 | w+ bAlnsbp l<yTAlyA f $>$ nh yEny $>$ nha |
| S1 |  |
| S2 | w+ b+ Alnsbp l+<yTAlyA f+ >nh yEny >nhA s+ ttSrf k+dwlp Sgyrp ttxlY En ms\&wlyAthA |
| S3 | w+ b+ Alnsbp l+<yTAlyA f+ >n +O:3MS yEny >n +O:3FS sttSrf k+dwlp Sgyrp ttxlY En ms\&wlyAt +P:3FS |
| S4 | w+ b+Alnsbp l+<yTAlyA f + > + O:3MS yEny $>\mathrm{n}+\mathrm{O}: 3 \mathrm{FS}$ s+ ttSrf k+ dwlp Sgyrp ttxlY En ms\&wlyAt +P:3FS |
| S5 | w+ b+Al+ nsbp l+<yTAlyA f+ >n +O:3MS yEny >n +O:3FS s+ ttSrf k+ dwlp Sgyrp ttxlY En ms\&wlyAt +P:3FS |
| S5SF | w+ b+Al+ nsbp l+ <yTAlyA f+ >n +h yEny >n +hA s+ttSrf k+dwlp Sgyrp ttxlY En ms\&wlyAt +hA |

Table 2. The different tokenization schemes exemplified on the same sentence.

| S | Token\# | Type \# | OOV\# |  |
| :--- | :--- | :--- | :---: | :---: |
| UT | $136,280,410$ | 653,584 | 85 |  |
| SO | $145,826,275$ | 566,024 | 76 |  |
| S1 | $146,162,567$ | 552,150 | 76 |  |
| S2 | $154,974,999$ | 475,335 | 68 |  |
| S3 | $160,194,619$ | 425,645 | 62 |  |
| S4 | $160,599,031$ | 418,832 | 62 |  |
| S5 | $199,179,300$ | 391,190 | 59 |  |

Table 3. tokens, and types count of the Arabic side of the training data for the different schemes and the out-of-vocabulary tokens on NIST MTO2 test set.

## Previous Work

- Most previous work has looked at these choices in context of Arabic $\rightarrow$ English MT
- Most common approach is to use PATB or ATBv3
- (Badr et al. 2006) investigated segmentation impact in the context of English $\rightarrow$ Arabic
- Much smaller-scale training data
- Only a small subset of our schemes


## Arabic Detokenization

- English-to-Arabic MT system trained on segmented Arabic forms will decode into segmented Arabic
- Need to put back together into full form words
- Non-trivial because mapping isn't simple concatenation and not always one-to-one
- Detokenization can introduce errors
- The more segmented the scheme, the more potential errors in detokenization


## Arabic Detokenization

- We experimented with several detokenization methods:
- C: simple concatenation
- R: List of detokenization rules (Badr et al. 2006)
- T: Mapping table constructed from training data (with likelihoods)
- T+C: Table method with backoff to C
- T+R: Table method with backoff to R
- T+R+LM: T+R method augmented with a 5-gram LM of fullforms and viterbi search for max likelihood sequence.
- T+R was the selected approach for this work


## Experimental Setup

- NIST MT 2009 constrained training parallel-data for Arabic-English:
- ~5 million sentence-pairs
- ~150 million unsegmented Arabic words
- ~172 million unsegmented English words
- Preprocessing:
- English tokenized using Stanford tokenizer and lower-cased
- Arabic analyzed by MADA, then tokenized using scripts and TOKAN according to the nine schemes
- Data Filtering: sentence pairs with > 99 tokens on either side or ratio of more than 4-to-1 were filtered out


## Training and Testing Setup

- Standard training pipeline using Moses
- Word Alignment of tokenized data using MGIZA++
- Symetrized using grow-diag-final-and
- Phrase extraction with max phrase length 7
- Lexically conditioned distortion model conditioned on both sides
- Language Model: 5-gram SRI-LM trained on tokenized Arabic-side of parallel data ( 152 million words)
- Also trained 7-gram LM for S4 and S5
- Tune: MERT to BLEU-4 on MT-02
- Decode with Moses on MT-03, MT-04 and MT-05
- Detokenized with T+R method
- Scored using BLEU, TER and METEOR on detokenized output


## English-to-Arabic Results

| System | BLEU | TER | METEOR | System | BLEU | TER | METEOR | System | BLEU | TER | METEOR |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| UT | 35.66 | 50.76 | 51.21 | UT | 31.53 | 56.15 | 45.55 | UT | 38.40 | 47.94 | 53.96 |
| S0 | 36.25 | 50.98 | 51.60 | S0 | 31.80 | 56.26 | 45.87 | S0 | 38.83 | 48.42 | 54.13 |
| S1 | 35.74 | 51.47 | 50.98 | S1 | 31.46 | 57.08 | 45.17 | S1 | 38.29 | 48.84 | 53.40 |
| S2 | 35.05 | 53.16 | 49.81 | S2 | 29.89 | 59.49 | 44.03 | S2 | 37.29 | 51.00 | 52.72 |
| S3 | 36.19 | 50.49 | 51.75 | S3 | 31.73 | 56.25 | 45.81 | S3 | 38.55 | 48.22 | 54.33 |
| S4 | 36.22 | 50.61 | 51.58 | S4 | 31.90 | 55.86 | 45.90 | S4 | 38.55 | 48.01 | 54.21 |
| S5 | 34.93 | 51.77 | 49.96 | S5 | 30.87 | 57.56 | 44.52 | 55 | 37.72 | 49.65 | 52.94 |
| S4SF | 35.83 | 50.88 | 51.48 | S4SF | 31.99 | 55.90 | 45.84 | S4SF | 38.15 | 48.28 | 54.01 |
| S5SF | 33.64 | 52.73 | 48.90 | S5SF | 30.06 | 57.83 | 43.67 | S5SF | 36.80 | 49.91 | 52.00 |
| S4,7gram | 35.81 | 50.92 | 51.26 | S4,7gram | 31.46 | 56.04 | 45.60 | S4,7gram | 38.32 | 48.19 | 54.07 |
| 55,7gram | 34.84 | 51.88 | 50.10 | 55,7gram | 30.91 | 57.31 | 44.47 | S5,7gram | 37.72 | 49.23 | 52.81 |

MT03
MT04

## Analysis

- Complex picture:
- Some decompositions help, others don't help or even hurt performance
- Segmentation decisions really matter - even with large amounts of training data:
- Difference between best (S0) and worst (S5SF)
- On MT03 : +2.6 BLEU, -1.75 TER, +2.7 METEOR points
- Map Key Reminder:
- S0: w+REST, S2: conj+part|art+REST, S4: (ATBv3 ) split all except for the art, S5: split everything (pron in morph. form)
- S0 and S4 consistently perform the best, are about equal
- S2 and S5 consistently perform the worst
- S4SF and S5SF usually worse than S4 and S5


## Analysis

- Simple decomposition S0 (just the "w" conj) works as well as any deeper decomposition
- S4 (ATBv3) works well also for MT into Arabic
- Decomposing the Arabic definite article consistently hurts performance
- Decomposing the prefix particles sometimes hurts
- Decomposing the pronominal suffixes (MF or SF) consistently helps performance
- 7-gram LM does not appear to help compensate for fragmented S4 and S5


## Analysis

- Clear evidence that splitting off the Arabic definite article is bad for English $\rightarrow$ Arabic
- S4 $\rightarrow$ S5 results in 22\% increase in PT size
- Significant increase in translation ambiguity for short phrases
- Inhibits extraction of some longer phrases
- Allows ungrammatical phrases to be generated:
- Middle East $\rightarrow$ Al\$rq Al>wsT
- Middle East $\rightarrow$ \$rq >qsT
- Middle East $\rightarrow$ \$rq Al>wsT


## Output Variation

- How different are the translation outputs from these MT system variants?
- Upper-bound: Oracle Combination on the single-best hypotheses from the different systems
- Select the best scoring output from the nine variants (based on posterior scoring against the reference)
- Work in Progress - actual system combination:
- Hypothesis Selection
- CMU Multi-Engine MT approach
- MBR


## Oracle Combination

MT03

| System | BLEU | TER | METEOR |
| :---: | :---: | :---: | :---: |
| Best Ind. (S0) | 36.25 | 50.98 | 51.60 |
| Oracle Combination | 41.98 | 44.59 | 58.36 |
| MT04 |  |  |  |
| System | BLEU | TER | METEOR |
| Best Ind. (S4) | 31.90 | 55.86 | 45.90 |
| Oracle Combination | 37.38 | 50.34 | 52.61 |
| MT05 |  |  |  |
| System | BLEU | TER | METEOR |
| Best Ind. (S0) | 38.83 | 48.42 | 54.13 |
| Oracle Combination | 45.20 | 42.14 | 61.24 |
| November 18, 2011 | LIHMT- | avie |  |

## Output Variation

- Oracle gains of 5-7 BLEU points from selecting among nine variant hypotheses
- Very significant variation in output!
- Better than what we typically see from oracle selections over large $n$-best lists (for $n=1000$ )


## Arabic-to-English Results

|  | BLEU | TER | METEOR |
| :--- | :---: | :---: | :---: |
| UT | 49.55 | 42.82 | 72.72 |
| S0 | 49.27 | 43.23 | 72.26 |
| S1 | 49.17 | 43.03 | 72.37 |
| S2 | 49.97 | 42.82 | 73.15 |
| S3 | 49.15 | 43.16 | 72.49 |
| S4 | 49.70 | 42.87 | 72.99 |
| S5 | 50.61 | 43.17 | 73.16 |
| S4SF | 49.60 | 43.53 | 72.57 |
| S5SF | 49.91 | 43.00 | 72.62 |

MT03

## Analysis

- Still some significant differences between the system variants
- Less pronounced than for English $\rightarrow$ Arabic
- Segmentation schemes that work best are different than in the English $\rightarrow$ Arabic direction
- S4 (ATBv3) works well, but isn't the best
- More fragmented segmentations appear to work better
- Segmenting the Arabic definite article is no longer a problem
- S5 works well now
- We can leverage from the output variation
- Preliminary hypothesis selection experiments show nice gains


## Conclusions

- Arabic segmentation schemes has a significant impact on system performance, even in very large training data settings
- Differences of 1.8-2.6 BLEU between system variants
- Complex picture of which morphological segmentations are helpful and which hurt performance
- Picture is different in the two translation directions
- Simple schemes work well for English $\rightarrow$ Arabic, less so for Arabic $\rightarrow$ English
- Splitting off Arabic definite article hurts for English $\rightarrow$ Arabic
- Significant variation in the output of the system variants can be leveraged for system combination


# A General-Purpose Rule Extractor for SCFG-Based Machine Translation 

J oint work with<br>Greg Hanneman and Michelle Burroughs

## Carnegie Mellon

## S-CFG Grammar Extraction

- Inputs:
- Word-aligned sentence pair
- Constituency parse trees on one or both sides
- Outputs:
- Set of S-CFG rules derivable from the inputs, possibly according to some constraints
- Implemented by:
- Hiero [Chiang 2005] GHKM [Galley et al. 2004]

Chiang [2010] Stat-XFER [Lavie et al. 2008]
SAMT [Zollmann and Venugopal 2006]

## S-CFG Grammar Extraction

- Our goals:
- Support for two-side parse trees by default
- Extract greatest number of syntactic rules...
- Without violating constituent boundaries
- Achieved with:
- Multiple node alignments
- Virtual nodes
- Multiple right-hand-side decompositions
- First grammar extractor to do all three



## Basic Node Alignment



- Word alignment consistency constraint from phrase-based SMT


## Basic Node Alignment



- Word alignment consistency constraint from phrase-based SMT


## Virtual Nodes



- Consistently aligned consecutive children of the same parent



## Virtual Nodes



- Consistently aligned consecutive children of the same parent
- New intermediate node inserted in tree


## Virtual Nodes



- Consistently aligned consecutive children of the same parent
- New intermediate node inserted in tree
- Virtual nodes may overlap
- Virtual nodes may align to any type of node


## Syntax Constraints



- Consistent word alignments $\neq$ node alignment
- Virtual nodes may not cross constituent boundaries


## Multiple Alignment



- Nodes with multiple consistent alignments
- Keep all of them


## Basic Grammar Extraction



- Aligned node pair is LHS; aligned subnodes are RHS

$\mathrm{NP}:: \mathrm{NP} \rightarrow\left[\operatorname{les} \mathrm{N}^{1} \mathrm{~A}^{2}\right]::\left[\mathrm{JJ}^{2} \mathrm{NNS}^{1}\right]$<br>$\mathrm{N}:: \mathrm{NNS} \rightarrow$ [voitures]::[cars]<br>$\mathrm{A}:: \mathrm{JJ} \rightarrow$ [bleues]::[blue]



## Multiple Decompositions



- All possible right-hand sides are extracted

$\mathrm{N}:: \mathrm{NNS} \rightarrow$ [voitures] $::$ [cars]
$\mathrm{A}:: \mathrm{JJ} \rightarrow$ [bleues] $::[$ blue $]$


## Multiple Decompositions



$$
\begin{aligned}
& \mathrm{NP}:: \mathrm{NP} \rightarrow\left[\text { les } \mathrm{N}+\mathrm{AP}^{1}\right]::\left[\mathrm{NP}^{1}\right] \\
& \mathrm{NP}:: \mathrm{NP} \rightarrow\left[\mathrm{D}+\mathrm{N}^{1} \mathrm{AP}^{2}\right]::\left[\mathrm{JJ}^{2} \mathrm{NNS}^{1}\right] \\
& \mathrm{NP}:: \mathrm{NP} \rightarrow\left[\mathrm{D}+\mathrm{N}^{1} \mathrm{~A}^{2}\right]::\left[\mathrm{JJ}^{2} \mathrm{NNS}^{1}\right] \\
& \mathrm{NP}:: \mathrm{NP} \rightarrow\left[\operatorname{les~} \mathrm{~N}^{1} \mathrm{AP}^{2}\right]::\left[\mathrm{JJ}^{2} \mathrm{NNS}^{1}\right] \\
& \mathrm{NP}:: \mathrm{NP} \rightarrow\left[\text { les } \mathrm{N}^{1} \mathrm{~A}^{2}\right]::\left[\mathrm{JJ}^{2} \mathrm{NNS}^{1}\right] \\
& \mathrm{NP}:: \mathrm{NP} \rightarrow\left[\mathrm{D}+\mathrm{N}^{1} \text { bleues }\right]:\left[\text { blue } \mathrm{NNS}^{1}\right] \\
& \text { NP::NP } \rightarrow\left[\text { les N }{ }^{1} \text { bleues }\right]:\left[\text { blue NNS }{ }^{1}\right] \\
& \mathrm{NP}:: \mathrm{NP} \rightarrow\left[\text { les voitures } \mathrm{AP}^{2}\right]::\left[\mathrm{JJ}^{2} \text { cars }\right] \\
& \left.\mathrm{NP}:: \mathrm{NP} \rightarrow \text { [les voitures } \mathrm{A}^{2}\right]::\left[\mathrm{JJ}^{2} \text { cars }\right] \\
& \mathrm{NP}:: \mathrm{NP} \rightarrow \text { [les voitures bleues]::[blue cars] } \\
& \mathrm{D}+\mathrm{N}:: \mathrm{NNS} \rightarrow\left[\operatorname{les} \mathrm{~N}^{1}\right]::\left[\mathrm{NNS}^{1}\right] \\
& \text { D+N::NNS } \rightarrow \text { [les voitures]::[cars] } \\
& \mathrm{N}+\mathrm{AP}:: \mathrm{NP} \rightarrow\left[\mathrm{~N}^{1} \mathrm{AP}^{2}\right]::\left[\mathrm{JJ}^{2} \mathrm{NNS}^{1}\right] \\
& \mathrm{N}+\mathrm{AP}:: \mathrm{NP} \rightarrow\left[\mathrm{~N}^{1} \mathrm{~A}^{2}\right]::\left[\mathrm{JJ}^{2} \mathrm{NNS}^{1}\right] \\
& \mathrm{N}+\mathrm{AP}:: \mathrm{NP} \rightarrow\left[\mathrm{~N}^{1} \text { bleues }\right]:\left[\text { blue } \mathrm{NNS}^{1}\right] \\
& \left.\mathrm{N}+\mathrm{AP}:: \mathrm{NP} \rightarrow \text { [voitures } \mathrm{AP}^{2}\right]::\left[\mathrm{JJ}^{2} \text { cars }\right] \\
& \left.\mathrm{N}+\mathrm{AP}:: \mathrm{NP} \rightarrow \text { [voitures } \mathrm{A}^{2}\right]::\left[\mathrm{JJ}^{2}\right. \text { cars] } \\
& \mathrm{N}+\mathrm{AP}:: \mathrm{NP} \rightarrow \text { [voitures bleues]::[blue cars] } \\
& \mathrm{N}:: \text { NNS } \rightarrow \text { [voitures] }::[\text { cars] } \\
& \mathrm{AP}:: \mathrm{JJ} \rightarrow\left[\mathrm{~A}^{1}\right]::\left[\mathrm{JJ}^{1}\right] \\
& \mathrm{AP}:: \mathrm{JJ} \rightarrow \text { [bleues }]::[\text { blue }] \\
& \mathrm{A}:: \mathrm{JJ} \rightarrow \text { [bleues }]::[\text { blue }]
\end{aligned}
$$

## Constraints

- Max rank of phrase pair rules
- Max rank of hierarchical rules
- Max number of siblings in a virtual node
- Whether to allow unary chain rules

$$
\mathrm{NP}:: \mathrm{NP} \rightarrow\left[\mathrm{PRO}^{1}\right]::\left[\mathrm{PRP}^{1}\right]
$$

- Whether to allow "triangle" rules

$$
\mathrm{AP}:: \mathrm{JJ} \rightarrow\left[\mathrm{~A}^{1}\right]::\left[\mathrm{JJ}^{1}\right]
$$

## Comparison to Related Work

|  | Tree <br> Constr. | Multiple <br> Aligns | Virtual <br> Nodes | Multiple <br> Decomp. |
| :--- | :---: | :---: | :---: | :---: |
| Hiero | No | - | - | Yes |
| Stat-XFER | Yes | No | Some | No |
| GHKM | Yes | No | No | Yes |
| SAMT | No | No | Yes | Yes |
| Chiang [2010] | No | No | Yes | Yes |
| This work | Yes | Yes | Yes | Yes |

## Experimental Setup

- Train: FBIS Chinese-English corpus
- Tune: NIST MT 2006
- Test: NIST MT 2003



## Extraction Configurations

- Baseline:
- Stat-XFER exact tree-to-tree extractor
- Single decomposition with minimal rules
- Multi:
- Add multiple alignments and decompositions
- Virt short:
- Add virtual nodes; max rule length 5
- Virt long:
- Max rule length 7


## Number of Rules Extracted

## Tokens <br> Phrase Hierarc.

| Baseline | $6,646,791$ | $1,876,384$ | $1,929,641$ | 767,573 |
| :--- | ---: | ---: | ---: | ---: |
| Multi | $8,709,589$ | $6,657,590$ | $2,016,227$ | $3,590,184$ |
| Virt short | $10,190,487$ | $14,190,066$ | $2,877,650$ | $8,313,690$ |
| Virt long | $10,288,731$ | $22,479,863$ | $2,970,403$ | $15,750,695$ |

## Number of Rules Extracted

Tokens
Phrase Hierarc.
Baseline Multi

Virt short
Virt long

| $6,646,791$ | $1,876,384$ |
| :---: | :---: |
| $8,709,589$ | $6,657,590$ |$|$| $10,190,487$ | $14,190,066$ |
| :--- | :--- |
| $10,288,731$ | $22,479,863$ |

Types
Phrase Hierarc.

| $1,929,641$ |  |
| ---: | ---: |
| $2,016,227$ | 767,573 |
| $3,590,184$ |  |$|$| $8,313,690$ |  |
| ---: | ---: |
| $2,877,650$ | $3,750,695$ |

- Multiple alignments and decompositions:
- Four times as many hierarchical rules
- Small increase in number of phrase pairs


## Number of Rules Extracted

Tokens
Phrase Hierarc.

Types
Phrase Hierarc.

| Baseline | $6,646,791$ | $1,876,384$ |
| :--- | ---: | ---: |
| Multi | $8,709,589$ | $6,657,590$ |
| Virt short | $10,190,487$ | $14,190,066$ |
| Virt long | $10,288,731$ | $22,479,863$ |
|  |  |  |

Baseline Multi Virt short Virt long

- Multiple decompositions and virtual nodes:
- 20 times as many hierarchical rules
- Stronger effect on phrase pairs
- $46 \%$ of rule types use virtual nodes


## Number of Rules Extracted

Tokens
Phrase Hierarc.

| Baseline | $6,646,791$ | $1,876,384$ | $1,929,641$ | 767,573 |
| :--- | ---: | ---: | ---: | ---: |
| Multi | $8,709,589$ | $6,657,590$ | $2,016,227$ | $3,590,184$ |
| Virt short | $10,190,487$ | $14,190,066$ | $2,877,650$ | $8,313,690$ |
| Virt long | $10,288,731$ | $22,479,863$ | $2,970,403$ | $15,750,695$ |

- Proportion of singletons mostly unchanged
- Average hierarchical rule count drops

Types
Phrase Hierarc.

## Rule Filtering for Decoding

- All phrase pair rules that match test set
- Most frequent hierarchical rules:
- Top 10,000 of all types
- Top 100,000 of all types
- Top 5,000 fully abstract + top 100,000 partially lexicalized

$$
\begin{gathered}
\mathrm{VP}:: \mathrm{ADJP} \rightarrow\left[\mathrm{VV}^{1} \mathrm{VV}^{2}\right]::\left[\mathrm{RB}^{1} \mathrm{VBN}^{2}\right] \\
\mathrm{NP}:: \mathrm{NP} \rightarrow\left[2000 \text { 年 } \mathrm{NN}^{1}\right]::\left[\text { the } 2000 \mathrm{NN}^{1}\right]
\end{gathered}
$$

## Results: Metric Scores

- NIST MT 2003 test set

| System | Filter | BLEU | METR | TER |
| :--- | :--- | ---: | ---: | ---: |
| Baseline | $\mathbf{1 0 k}$ | 24.39 | 54.35 | 68.01 |
| Multi | $\mathbf{1 0 k}$ | 24.28 | 53.58 | 65.30 |
| Virt short | 10k | 25.16 | 54.33 | 66.25 |
| Virt long | $\mathbf{1 0 k}$ | 25.74 | 54.55 | 65.52 |

- Strict grammar filtering: extra phrase pairs help improve scores


## Results: Metric Scores

- NIST MT 2003 test set

| System | Filter | BLEU | METR | TER |
| :--- | :--- | ---: | ---: | ---: |
| Baseline | $\mathbf{5 k + 1 0 0 k}$ | 25.95 | 54.77 | 66.27 |
| Virt short | $\mathbf{5 k + 1 0 0 k}$ | 26.08 | 54.58 | 64.32 |
| Virt long | $\mathbf{5 k + 1 0 0 k}$ | 25.83 | 54.35 | 64.55 |

- Larger grammars: score difference erased


## Conclusions

- Very large linguistically motivated rule sets
- No violating constituent bounds (Stat-XFER)
- Multiple node alignments
- Multiple decompositions (Hiero, GHKM)
- Virtual nodes (<SAMT)
- More phrase pairs help improve scores
- Grammar filtering has significant impact


## Automatic Category Label Coarsening for Syntax-Based Machine Translation

J oint work with Greg Hanneman

## CarnegieMellon

## Motivation

- S-CFG-based MT:
- Training data annotated with constituency parse trees on both sides
- Extract labeled S-CFG rules

$$
\begin{aligned}
& \mathrm{A}:: \mathrm{JJ} \rightarrow[\text { bleues }]::[\text { blue }] \\
& \mathrm{NP}:: \mathrm{NP} \rightarrow\left[\mathrm{D}^{1} \mathrm{~N}^{2} \mathrm{~A}^{3}\right]::\left[\mathrm{DT}^{1} \mathrm{JJ}^{3} \mathrm{NNS}^{2}\right]
\end{aligned}
$$

- We think syntax on both sides is best
- But joint default label set is sub-optimal


## Motivation

- Category labels have significant impact on syntax-based MT
- Govern which rules can combine together
- Generate derivational ambiguity
- Fragment the data during rule acquisition
- Greatly impact decoding complexity
- Granularity spectrum has ranged from single category (Chiang's Hiero) to 1000 s of labels (SAMT, our new Rule Learner)
- Our default category labels are artifacts of the underlying monolingual parsers used
- Based on TreeBanks, designed independently for each language, without MT in mind
- Not optimal even for monolingual parsing
- What labels are necessary and sufficient for effective syntax-based decoding?


## Research Goals

- Define and measure the effect labels have
- Spurious ambiguity, rule sparsity, and reordering precision
- Explore the space of labeling schemes
- Collapsing labels

- Refining labels $\mathrm{JJ}:: \mathrm{A} \longrightarrow \mathrm{JJJ:AA}$
- Correcting local labeling errors PRO $\qquad$


## Motivation

－Labeling ambiguity：
－Same RHS with many LHS labels

$$
\begin{aligned}
& \mathrm{JJ}:: \mathrm{JJ} \rightarrow[\text { 快速 }]::[\text { fast }] \\
& \mathrm{AD}:: \mathrm{JJ} \rightarrow[\text { [快速 }]::[\text { fast }] \\
& \mathrm{JJ}:: \mathrm{RB} \rightarrow[\text { [快速 }]:[\text { fast }] \\
& \mathrm{VA}:: \mathrm{JJ} \rightarrow[\text { [快速 }]::[\text { fast }] \\
& \mathrm{VP}:: \mathrm{ADJP} \rightarrow\left[\mathrm{VV}^{1} \mathrm{VV}^{2}\right]::\left[\mathrm{RB}^{1} \mathrm{VBN}^{2}\right] \\
& \mathrm{VP}:: \mathrm{VP} \rightarrow\left[\mathrm{VV}^{1} \mathrm{VV}^{2}\right]::\left[\mathrm{RB}^{1} \mathrm{VBN}^{2}\right]
\end{aligned}
$$

## Motivation

－Rule sparsity：
－Label mismatch blocks rule application
$\mathrm{VP}:: \mathrm{VP} \rightarrow\left[\mathrm{VV}^{1}\right.$ 了 $\mathrm{PP}^{2}$ 的 $\left.\mathrm{NN}^{3}\right]::\left[\mathrm{VBD}^{1}\right.$ their $\left.\mathrm{NN}^{3} \mathrm{PP}^{2}\right]$
$\mathrm{VP}:: \mathrm{VP} \rightarrow\left[\mathrm{VV}^{1}\right.$ 了 $\mathrm{PP}^{2}$ 的 $\left.\mathrm{NN}^{3}\right]::\left[\mathrm{VB}^{1}\right.$ their $\left.\mathrm{NNS}^{3} \mathrm{PP}^{2}\right]$
$\pm$ saw their friend from the conference
$\pm$ see their friends from the conference
－saw their friends from the conference

## Motivation

- Solution: modify the label set
- Preference grammars [Venugopal et al. 2009]
- X rule specifies distribution over SAMT labels
- Avoids score fragmentation, but original labels still used for decoding
- Soft matching constraint [Chiang 2010]
- Substitute A::Z at B::Y with model cost subst(B, A) and subst(Y, Z)
- Avoids application sparsity, but must tune each $\operatorname{subst}\left(\mathrm{s}_{1}, \mathrm{~s}_{2}\right)$ and $\operatorname{subst}\left(\mathrm{t}_{1}, \mathrm{t}_{2}\right)$ separately


## Our Approach

- Difference in translation behavior $\Rightarrow$ different category labels
la grande voiture
la plus grande voiture
la voiture la plus grande
the large car
the larger car
the largest car
- Simple measure: how category is aligned to other language

$$
\begin{aligned}
& \text { A::JJ } \rightarrow \text { [grande }]::[\text { large }] \\
& \text { AP::JJR } \rightarrow \text { [plus grande }]:[\text { larger }]
\end{aligned}
$$

## $\mathrm{L}_{1}$ Alignment Distance




French Label

## $\mathbf{L}_{1}$ Alignment Distance




French Label

## $\mathrm{L}_{1}$ Alignment Distance




French Label

## $\mathbf{L}_{1}$ Alignment Distance




French Label

## $\mathrm{L}_{1}$ Alignment Distance






French Label

## Label Collapsing Algorithm

- Extract baseline grammar from aligned tree pairs (e.g. Lavie et al. [2008])
- Compute label alignment distributions
- Repeat until stopping point:
- Compute $L_{1}$ distance between all pairs of source and target labels
- Merge the label pair with smallest distance
- Update label alignment distributions


## Experiment 1

- Goal: Explore effect of collapsing with respect to stopping point
- Data: Chinese-English FBIS corpus (302 k)



## Experiment 1



## Experiment 1



## Effect on Label Set

- Number of unique labels in grammar

|  | Zh | En | Joint |
| :--- | ---: | ---: | ---: |
| Baseline | 55 | 71 | 1556 |
| Iter. 29 | 46 | 51 | 1035 |
| Iter. 45 | 38 | 44 | 755 |
| Iter. 60 | 33 | 34 | 558 |
| Iter. 81 | 24 | 22 | 283 |
| Iter. 99 | 14 | 14 | 106 |

## Effect on Grammar

－Split grammar into three partitions：
－Phrase pair rules

$$
\mathrm{NN}:: \mathrm{NN} \rightarrow \text { [友好]::[friendship] }
$$

－Partially lexicalized grammar rules

$$
\text { NP::NP } \rightarrow \text { [2000年 NN¹}]::\left[\text { the } 2000 \mathrm{NN}^{1}\right]
$$

－Fully abstract grammar rules

$$
\mathrm{VP}:: \mathrm{ADJP} \rightarrow\left[\mathrm{VV}^{1} \mathrm{VV}^{2}\right]::\left[\mathrm{RB}^{1} \mathrm{VBN}^{2}\right]
$$

## Effect on Grammar



ㄷ- Phrase $\diamond$ Part Lex $\nabla$ Abstract $-\triangle$ Total

## Effect on Metric Scores

- NIST MT '03 Chinese-English test set
- Results averaged over four tune/test runs

|  | BLEU | METR | TER |
| :--- | ---: | ---: | ---: |
| Baseline | 24.43 | 54.77 | 68.02 |
| Iter. 29 | 27.31 | 55.27 | 63.24 |
| Iter. 45 | 27.10 | 55.24 | 63.41 |
| Iter. 60 | 27.52 | 55.32 | 62.67 |
| Iter. 81 | 26.31 | 54.63 | 63.53 |
| Iter. 99 | 25.89 | 54.76 | 64.82 |

## Effect on Decoding

- Different outputs produced
- Collapsed 1-best in baseline 100-best: 3.5\%
- Baseline 1-best in collapsed 100-best: 5.0\%
- Different hypergraph entries explored in cube pruning
- 90\% of collapsed entries not in baseline
- Overlapping entries tend to be short
- Hypothesis: different rule possibilities lead search in complementary direction


## Conclusions

- Can effectively coarsen labels based on alignment distributions
- Significantly improved metric scores at all attempted stopping points
- Reduces rule sparsity more than labeling ambiguity
- Points decoder in different direction
- Different results for different language pairs or grammars


## Summary and Conclusions

- Increasing consensus in the MT community on the necessity of models that integrate deeper-levels of linguistic analysis and abstraction
- Especially for languages with rich morphology and for language pairs with highly-divergent syntax
- Progress has admittedly been slow
- No broad understanding yet of what we should be modeling and how to effectively acquire it from data
- Challenges in accurate annotation of vast volumes of parallel training data with morphology and syntax
- What is necessary and effective for monolingual NLP isn't optimal or effective for MT
- Complexity of Decoding with these types of models
- Some insights and (partial) solutions
- Lots of interesting research forthcoming


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